

21258

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)			Docket No. 5017/ISM/CORE/MCVD/SB
In re Application of: Chin, et al.			
Serial No. 09/917,842	Filing Date July 27, 2001	Examiner Kosowski, A.	Group Art Unit 2125

Title: **ATOMIC LAYER DEPOSITION APPARATUS**

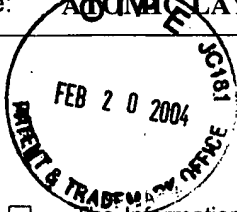
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FEB 25 2004

Technology Center 2100

3 Mar 04



37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

FEB 26 2004

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

TC 2100

37 CFR 1.97(d)

3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- ☐ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- ☒ The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782. The necessary fee was paid with its counterpart Information Disclosure Statement filed through E-Pave on February 16, 2004. A copy of the Fee Transmittal is attached.

37 CFR §1.704(d)

4. ☐ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Keith P. Taboada, Esq.
Reg. No. 45,150

Moser, Patterson & Sheridan, LLP
Attorneys at Law
595 Shrewsbury Avenue, Suite 100
Shrewsbury, New Jersey 07702
732-530-9404

Dated: Feb 13, 2004

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I certify that this document is being deposited on 2-16-2004 with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

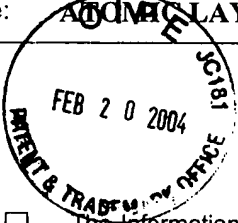
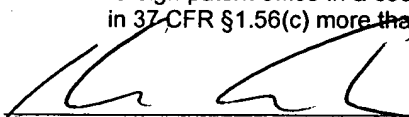
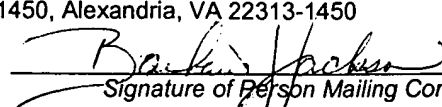
Signature of Person Mailing Correspondence

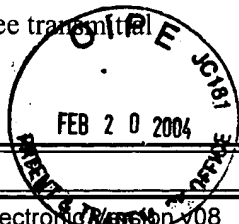
Barbara J. Jackson

Typed or Printed Name of Person Mailing Correspondence

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2125

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)			Docket No. 5017/ISM/CORE/MCVD/SB	
In re Application of: Chin, et al.				
Serial No. 09/917,842	Filing Date July 27, 2001	Examiner Kosowski, A.	Group Art Unit 2125	
Title: ATOMIC LAYER DEPOSITION APPARATUS				
		Address to: Commissioner for Patents Alexandria, VA 22313-1450		
		RECEIVED FEB 25 2004 Technology Center 2100		
37 CFR 1.97(b)				
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37 CFR 1.97(c)				
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.				
37 CFR 1.97(d)				
3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.				
Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)				
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<input checked="" type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782. The necessary fee was paid with its counter-part Information Disclosure Statement filed through E-Pave on February 16, 2004. A copy of the Fee Transmittal is attached.				
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 Keith P. Taboada, Esq. Reg. No. 45,150 Moser, Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Avenue, Suite 100 Shrewsbury, New Jersey 07702 732-530-9404		Dated: Feb 13, 2004 Certificate of Mailing by First Class Mail I certify that this document is being deposited on 2-16-2004 with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  Signature of Person Mailing Correspondence Barbara J. Jackson Typed or Printed Name of Person Mailing Correspondence		



FEE TRANSMITTAL

Electronic Filing v08
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Title of Invention	ATOMIC LAYER DEPOSITION APPARATUS	RECEIVED									
Application Number:	09/917842	*09/917842*									
Date:	2001-07-27										
First Named Applicant:	Barry L. Chin										
Attorney Docket Number:	5017Y01										
Art Unit:	3573										
Examiner:	Alexander Kosowski										
FEB 25 2004 Technology Center 2100											
TOTAL FEE AUTHORIZED \$180											
Patent fees are subject to annual revisions on or about October 1st of each year.											
BASIC FILING FEE											
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>				Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$								
Submission Of Information Disclosure Stmt Fee	1806	180	180								
AUTHORIZED BILLING INFORMATION											
The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:											
Deposit account number: 200782											
Access Code ****											
Deposit name: Moser Patterson Sheridan LLP											
Deposit authorized name: Keith P Taboada											
Signature: /Keith P Taboada/											
Date (YYYYMMDD): 2004-02-13											

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PTO/SB/08a (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

4

Application Number

09/917,842

Filing Date

July 27, 2001

First Named Inventor

Chin et al.

Group Art Unit

2125

Examiner Name

Kosowski, A.

Attorney Docket Number

5017 Y01/ISM/CORE

Submission Date

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US-			<div>RECEIVED</div> <div>FEB 25 2004</div> <div>Technology Center 2100</div>
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
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	A13	US-			
	A14	US-			
	A15	US-			
	A16	US-			
	A17	US-			
	A18	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Number-Kind Code ² (if known)				
	B1	WO 01/36702	05/25/2001	Genitech Co., Ltd.		X
	B2	WO 01/17692	03/15/2001	ASM America, Inc.		X
	B3	WO 00/79576	12/28/2000	Genitech, Inc.		X
	B4	WO 00/54320	09/14/2000	Genus Inc.		X
	B5	WO 99/65064	12/16/1999	ASM International NV		X

Examiner

Date Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

of 4

Application Number

09/917,842

Filing Date

July 27, 2001

First Named Inventor

Chin et al.

Group Art Unit

2125

Examiner Name

Kosowski, A.

Attorney Docket Number

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Submission Date

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/	B6	WO 99/01595	01/14/1999	Neste OY		X
/	B7	WO 96/17107	06/06/1996	Mirokemia OY		X
/	B8	JP 58-098917	06/13/1983	Seiko Epson Corp		X
/	B9	JP 2001-020075	01/23/2001	ASM Microchemistry OY		X
/	B10	JP 2000-319772	11/21/2000	P K Ltd.		X

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		Number-Kind Code ² (if known)				
	B11	JP 2000-212752 /	08/02/2000	Samsun Electronics Co.Ltd		
	B12	JP 06-224138	08/12/1994	Texas Instr. Inc.		X
	B13	JP 05-234899	09/10/1993	Hitachi Ltd.		X
	B14	JP 05-206036	08/13/1993	Rikagaku Kenkyusho		X
	B15	JP 05-047666	02/26/1993	Fujitsu Ltd		

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	A2	US-			
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	A16	US-			
	A17	US-			
	A18	US-			

FOREIGN PATENT DOCUMENTS

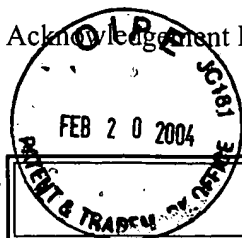
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Number-Kind Code ² (if known)				
/	B16	JP 04-291916	10/16/1992	Kokusai Electric Co.		X
/	B17	JP 05-270997	10/19/1993	Fujitsu Ltd.		X
/	B18	EP 1167569	01/02/2002	IPS Limited		X
/	B19	WO -2/45871	06/13/2002	Angstrom Systems		
/	B20	WO 02/08488	01/31/2002	ASM Microchemistgry OY		

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UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT

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FEB 25 2004

Electronic Version 1.1
Stylesheet Version v1.1.1

Technology Center 2100

Title of Invention	ATOMIC LAYER DEPOSITION APPARATUS																																		
Submission Type:	Information Disclosure Statement																																		
Application Number:	09/917842	*09/917842*																																	
EFS ID:	55594																																		
Server Response:	<table border="1"><thead><tr><th>Confirmation Code</th><th>Message</th></tr></thead><tbody><tr><td>ISVR1</td><td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td></tr><tr><td>ICON1</td><td>3573</td></tr><tr><td>ISYS5</td><td>Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confimaton/Application numbers at this time. They will be checked by PTO personnel later.</td></tr></tbody></table>		Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	3573	ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confimaton/Application numbers at this time. They will be checked by PTO personnel later.																									
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First Named Applicant:	Barry Chin																																		
Attorney Docket Number:	5017Y01																																		
Timestamp:	2004-02-16 10:26:13 EDT																																		
From:	US																																		
File Listing:	<table border="1"><thead><tr><th>Doc. Name</th><th>File Name</th><th>Size (Bytes)</th></tr></thead><tbody><tr><td>us-ids</td><td>APPM5017Y01-usidst.xml</td><td>9213</td></tr><tr><td>us-ids</td><td>us-ids.dtd</td><td>7763</td></tr><tr><td>us-ids</td><td>us-ids.xsl</td><td>12026</td></tr><tr><td>us-fee-sheet</td><td>APPM5017Y01-usfees.xml</td><td>1524</td></tr><tr><td>us-fee-sheet</td><td>us-fee-sheet.xsl</td><td>24912</td></tr><tr><td>us-fee-sheet</td><td>us-fee-sheet.dtd</td><td>11069</td></tr><tr><td>package-data</td><td>APPM5017Y01-pkda.xml</td><td>2362</td></tr><tr><td>package-data</td><td>package-data.dtd</td><td>27025</td></tr><tr><td>package-data</td><td>us-package-data.xsl</td><td>19263</td></tr><tr><td colspan="2">Total files size</td><td>115157</td></tr></tbody></table>		Doc. Name	File Name	Size (Bytes)	us-ids	APPM5017Y01-usidst.xml	9213	us-ids	us-ids.dtd	7763	us-ids	us-ids.xsl	12026	us-fee-sheet	APPM5017Y01-usfees.xml	1524	us-fee-sheet	us-fee-sheet.xsl	24912	us-fee-sheet	us-fee-sheet.dtd	11069	package-data	APPM5017Y01-pkda.xml	2362	package-data	package-data.dtd	27025	package-data	us-package-data.xsl	19263	Total files size		115157
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Digital Certificate Holder Name:	cn=Keith Patrick Taboada,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US																																		